

# EXPERIMENTAL INVESTIGATION OF PIEZOELECTRIC TUBE ACTUATORS DYNAMICS

<sup>1</sup>WISAM SABEK, <sup>2</sup>ALMAHAAL-MANA, <sup>3</sup>MORTEZA MOHAMMADZAHERI, <sup>4</sup>ABDUL RAFEY SIDDIQUI, <sup>5</sup>BILAL EL ASSADI, <sup>6</sup>MOHAMMAD-HASSAN MOHAMMAD-KHORASANI, <sup>7</sup>REZA TAFRESHI

Texas A&M University at Qatar, Department of Mechanical Engineering, Doha, Qatar  
E-mail: <sup>1</sup>Wisam.sabek@qatar.tamu.edu, <sup>2</sup>almaha.al-mana@qatar.tamu.edu,  
<sup>3</sup>m.mohammadzaheri@qatar.tamu.edu

**Abstract-** This paper aims to investigate the dynamics of piezoelectric tube actuators. It demonstrates the effect of the frequency and the amplitude of the excitation voltage on the displacement of the actuator. In this research, an experimental setup comparable with a nanopositioner of atomic force microscopies was designed and assembled. The piezoelectric tube was excited by a number of triangular voltage functions. Experimental results show a voltage-displacement loop influenced the hysteresis phenomena as well as vibration and creep. It was clearly observed that the loop becomes widened at higher frequencies due to more significant influence of vibration.

**Index Terms-** Piezoelectric Tube, Hysteresis, Nanopositioning, Atomic Force Microscopy.

## I. INTRODUCTION

Scanning Probe Microscopes (SPMs) are instruments that enable researchers and engineers to study and manipulate matter at nanometer scale [1, 2]. Atomic Force Microscopes (AFMs) are the most broadly used type of SPMs [3]. Piezoelectric tubes are the foremost actuators in atomic force microscopy [4, 5]. Use of these actuators in SPMs was suggested by Smith and Binnig in 1986 [6]. By that time, tripod positioners were used in probe scanning. Piezoelectric tubes presented higher accuracy, wider range of operation frequency range and easier manufacturing process compared to tripod positioners [7]. In addition, their smaller size facilitated vibration isolation [8]. This class of piezoelectric actuators are likely to remain the most widely used positioning actuators not only in SPMs, but also in other micro and nanoscale positioning tasks for years [2].

Piezoelectric actuators in general are compact in size, capable of developing nanometer resolution in displacement [9]. They have high stiffness and can produce considerable force output [7]. Consequently, they have been used in a variety of applications such as fiber optics [10], ultrasonic technology [11], inkjet printers [12-14], surgery [15-17] and precise machining [18-21].

It is known that the nonlinear phenomena of hysteresis and creep as well as vibration exist in piezoelectric materials [22, 23]. However, the dynamics of piezoelectric tubes has not been fully explored yet, partially due to the difficulty of experimentation and complexity of their nature. Some researchers have shown the nonlinearity of piezoelectric tubes is insignificant, at least for specific operating areas; that is, hysteresis and creep are negligible [24, 25]. This paper experimentally investigates this matter and finds out at amplitudes/frequencies higher the ones studied by the aforementioned research, the effect of

hysteresis is clear. The arrangement of this paper is as following: Piezoelectricity is briefly explained in section II. Section III reports the Experimental Setup and Data Collection, followed by Results, Discussion, and Conclusion, presented in sections IV and V.

## II. PIEZOELECTRICITY

Piezoelectric materials are considered smart because they are able to respond to external variations (e.g. loads) and internal changes (e.g. damage) [26]. These materials can exceptionally couple electrical voltage and mechanical force [27]. Piezoelectric materials are made of crystals (e.g. quartz), ferroelectric polycrystalline ceramic substances, piezoceramics (e.g. barium titanate ( $\text{BaTiO}_3$ )) and lead zirconatetitanate (PZT) [28]; the PZT is a widely used piezoelectric material [29]. A typical PZT unit cell is illustrated in Fig. 1.

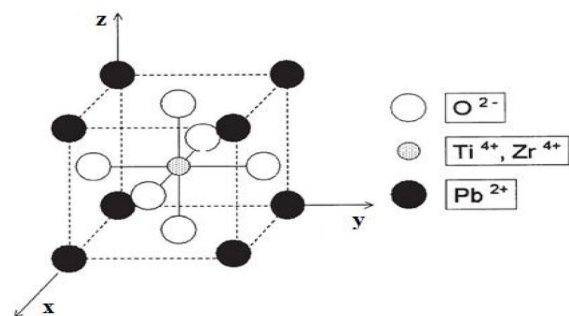


Fig. 1 A typical PZT unit cell [30].

Piezoelectricity, the interaction of mechanical and electrical quantities in piezoelectric materials, was discovered in the 1880s; the first experiment on a piezoelectric material was performed by the Curie brothers on quartz,  $\text{SiO}_2$  [29]. Subsequently, it was discovered that piezoelectric materials are deformed by applying an electrical voltage [26]. The modality of

the material charge distribution explains this characteristic of piezoelectric materials. Charge distribution in materials is either symmetrical or non-symmetrical. As Fig. 2 (a) demonstrates, in the symmetrical charge distribution, the applied mechanical force does not move the resultant gravity center of ions; whereas, in the non-symmetrical charge distribution, the gravity center of ions is altered under the applied mechanical force, as shown in Fig.2 (b). The piezoelectric material has a non-symmetrical charge distribution. Therefore, applying mechanical force on piezoelectric materials results in generating an electric voltage across the material. Conversely, the material is displaced, when an electric voltage is applied.

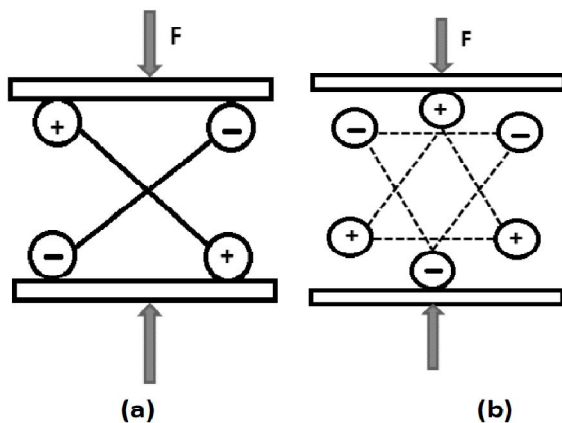


Fig. 2 (a) Symmetrical and (b) non-symmetrical charge distribution of a material.

### III. EXPERIMENTAL SETUP AND DATA COLLECTION

In this research, a piezoelectric tube with the diameter, thickness and length of 9.53, 0.66 and 56.5 mm was employed. The tube has one inner and four equally distributed outer electrodes (segments). A schematic of such a typical piezoelectric tube is shown in Fig. 3. The inner and three outer electrodes were earthed, and one outer electrode was excited using a signal generator and a PDM200 miniature high voltage amplifier as illustrated in Fig. 4. A resistor of 50 M $\Omega$  was also used within the arrangement to stabilize the system as recommended in [31].

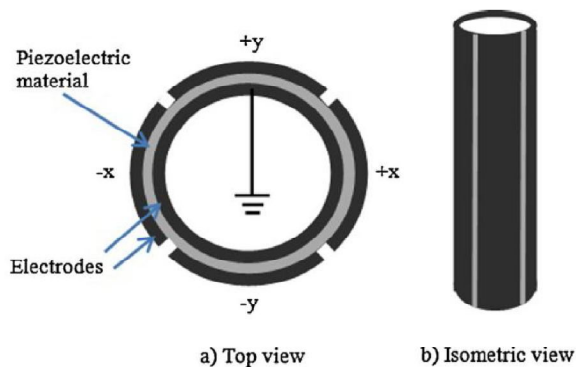


Fig. 3 A schematic of a piezoelectric tube actuator

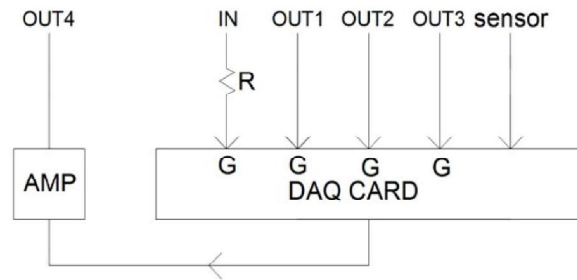


Fig. 4 The arrangement of imported and exported signals to/from the data acquisition card as well as grounded electrodes. IN and OUT stand for inner and outer electrodes of the tube.

An aluminum cube of 40x40x25 mm<sup>3</sup> is mounted on the tube. The displacement of one side of this cube aligned with the excited electrode of the tube. It was measured using a Philtec D20 optic-fibre displacement sensor with a built-in voltage amplifier. Micro-meters [11] were employed for sensor calibration. The actuator and sensor probes were located on a 3D printed plastic stand, particularly designed for this experiment and firmly clamped to the table. An NI9201 data acquisition card system was employed to connect different aforementioned components; LabVIEW 2012 software was used to record and display the data during the experiment. Components of the experimental are shown in Fig. 5.

For the purpose of data collection from piezoelectric actuators, the operation sampling frequency is normally chosen around 10 times higher than the first resonance of the actuator. The first resonant frequency of a similar tube has been identified 941 Hz [25]. Therefore, a sampling frequency of 10 kHz or a sampling time of 10-4s was chosen. Three different triangular voltage functions were used in experiments, with an identical amplitude of 100 V and frequencies of 60 Hz, 80 Hz, and 100 Hz.

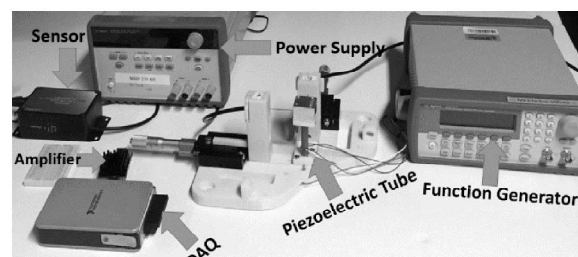


Fig. 1 Components of the experimental setup

### IV. RESULTS AND DISCUSSION

A sample of the triangular voltage function is shown in Fig. 6. The resultant displacement of the piezoelectric actuator in microns was plotted against the applied voltage for one and one-hundred cycles in Fig. 4. The data shown by red dashed line have been post-processed, using a finite-impulse-response filter, inspired by Hanning window with three coefficients was employed to reduce measurement noise:

$$x_{filtered}(k) = \frac{1}{4}x(k) + \frac{1}{2}x(k-1) + \frac{1}{4}x(k-2). \quad (1)$$

It was observed with the increase of voltage, the displacement increases too. However, when the voltage went back down, the displacement did not take the same path as it did when it increased. This phenomenon is commonly referred as the hysteresis and is caused by polarization of microscopic particles [32]. Although, the loop is in fact influenced by hysteresis, vibration and creep [33].

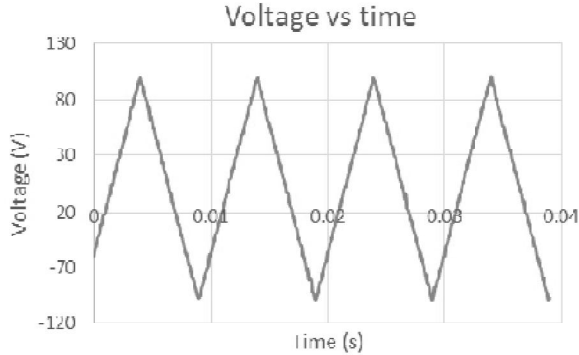


Fig. 6 A sample voltage function

Triangular voltage functions are equivalent to a series of sinusoidal functions, according to Fourier series, as shown in (2):

$$\begin{aligned} x(t) &= \frac{8}{\pi^2} \sum_{k=0}^{\infty} \frac{(-1)^k}{(2k+1)^2} \sin((2k+1)(2\pi ft)) \\ &= \frac{8}{\pi^2} \left( \sin(2\pi ft) - \frac{1}{9} \sin(6\pi ft) + \dots \right). \end{aligned} \quad (2)$$

Consequently, each triangular function can excite an infinite number of vibrational modes and result in a change in the tube vibrational response. This change is dependent on the number of excited frequencies in the vicinity of tube natural frequencies, their corresponding amplitude, and their closeness to the natural frequencies. Such changes in tube vibrational behavior can be observed in Fig. 8, where the loop becomes wider and more pronounced as the frequency of the applied voltage increases. This change might be interpreted as frequency-dependent dynamics of hysteresis [34] which, in fact, seems to be mainly inherited by vibrations rather than hysteresis. Fig.7 depicts the change of loop width for three different frequencies to illustrate the effect of excitation frequency on the actuator's response.

In right hand side diagrams of Fig.8, the loop seems to be thicker. This thickness is a result of the gradual loss of the amplitude in sequential loops during operation, namely creep. Creep is the result of the remnant polarization of microscopic particles within the piezoelectric material [35]. Amplitude loss of different loops shown in right-hand side diagrams of Fig.8 have been depicted in Fig.9. These results show that the creep is more dominant at lower frequencies.

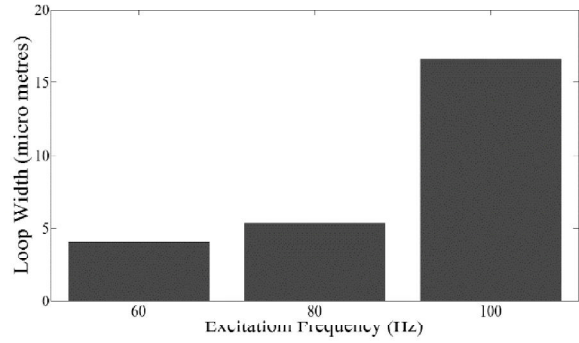


Fig. 7 Maximum loop width at different excitation frequencies

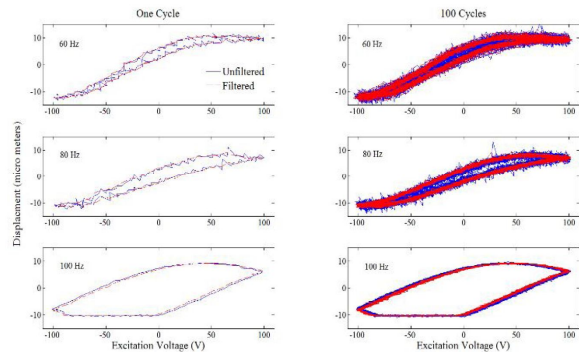


Fig. 2 The displacement plotted against voltage for the three frequencies

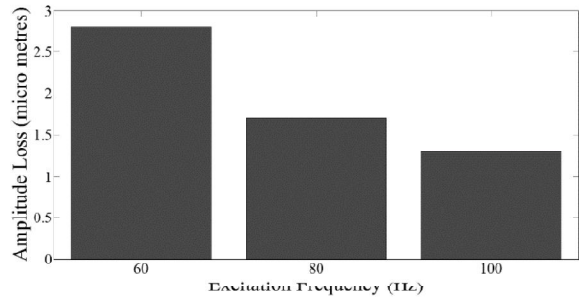


Fig. 3 Amplitude loss in 100 cycles at different excitation frequencies

## CONCLUSION

In this research, the dynamics of a piezoelectric tube actuator was experimentally investigated using an innovatively designed experimental setup and three research outcomes were achieved:

- It was observed that radial displacement of a piezoelectric tube actuator increases/decreases with the increase/decreases of the applied voltage on the actuator. However, decreasing and increasing paths starting/ending at the same points are different and form a loop collectively. Similar observations have been reported in the literature for other types of piezoelectric actuators (e.g. stacks) under the general title of hysteresis, but not for tube actuators. Some research papers, based on experiments carried out at fairly low excitation voltage amplitude and frequencies, have shown the hysteretic behavior of

piezoelectric tube actuators is negligible. This paper shows that hysteresis is considerable in piezoelectric tubes at least in the amplitude and frequencies investigated in this research.

- (ii) It was observed that the voltage-displacement loop becomes wider with the increase of frequency. The same observation has been reported in some research papers for other types of piezoelectric actuators. This behavior has been called frequency-dependent hysteresis with no physics-based explanation or justification. However, this observation was appropriately explained in this paper as a consequence of vibration.
- (iii) It was observed that displacement amplitude decreases as operation goes on, while the amplitude of excitation voltage is consistent. This observation matches with creep phenomenon. Experimental results illustrates that creep is more significant at lower frequencies.

## ACKNOWLEDGMENT

The authors wish to thank Mr. Omar Al-Ani and Mr. Yasser Al-Hamidi for their contribution and support in setting up the experiment

## REFERENCES

- [1] M. Mohammadzaheri, S. Grainger, M. Bazghaleh, and P. Yaghmaee, "Intelligent modeling of a piezoelectric tube actuator," in International Symposium on Innovations in Intelligent Systems and Applications (INISTA), Trabzon, Turkey, 2012.
- [2] S. O. R. Moheimani, "Invited Review Article: Accurate and fast nanopositioning with piezoelectric tube scanners: Emerging trends and future challenges," *Review of Scientific Instruments*, vol. 79, Jul 2008.
- [3] G. Schitter, G. E. Fantner, J. H. Kindt, P. J. Thurner, and P. K. Hansma, "On recent developments for high-speed atomic force microscopy," presented at the IEEE/ASME International Conference on Advanced Intelligent Mechatronics, 2005.
- [4] D. Y. Abramovitch, S. B. Andersson, L. Y. Pao, and G. Schitter, "A tutorial on the mechanisms, dynamics, and control of Atomic Force Microscopes," presented at the American Control Conference, New York City, USA 2007.
- [5] S. Kuiper and G. Schitter, "Active damping of a piezoelectric tube scanner using self-sensing piezo actuation," *Mechatronics*, vol. 20, pp. 656-665, Sep 2010.
- [6] G. Binnig and D. Smith, "Single-tube three-dimensional scanner for scanning tunneling microscopy," *Review of Scientific Instruments*, vol. 57, pp. 1688-1689, 1986.
- [7] S. Devasia, E. Eleftheriou, and S. R. Moheimani, "A survey of control issues in nanopositioning," *Control Systems Technology*, IEEE Transactions on, vol. 15, pp. 802-823, 2007.
- [8] C. J. Chen, "Electromechanical deflections of piezoelectric tubes with quartered electrodes," *Applied Physics Letters*, vol. 60, pp. 132-134, 1992.
- [9] M. Bazghaleh, S. Grainger, M. Mohammadzaheri, B. Cazzolato, and T.-F. Lu, "A novel digital charge-based displacement estimator for sensorless control of a grounded-load piezoelectric tube actuator," *Sensors and Actuators A: Physical*, 2013.
- [10] M. Leung, J. Yue, K. Razak, E. Haemmerle, M. Hodgson, and W. Gao, "Development of a  $1 \times 2$  piezoelectric optical fiber switch," in *Photonics Asia 2007*, 2007, pp. 683603-683603-12.
- [11] H. Zhang, S.-y. Zhang, and L. Fan, "Simplified formulae to investigate flexural vibration characteristics of piezoelectric tubes in ultrasonic micro-actuators," *Ultrasonics*, vol. 50, pp. 397-402, 2010.
- [12] J. D. Kim, J. S. Choi, B. S. Kim, Y. C. Choi, and Y. W. Cho, "Piezoelectric inkjet printing of polymers: Stem cell patterning on polymer substrates," *Polymer*, vol. 51, pp. 2147-2154, 2010.
- [13] S. Sakai, "Dynamics of piezoelectric inkjet printing systems," in *NIP & Digital Fabrication Conference*, 2000, pp. 15-20.
- [14] R. E. Saunders, J. E. Gough, and B. Derby, "Delivery of human fibroblast cells by piezoelectric drop-on-demand inkjet printing," *Biomaterials*, vol. 29, pp. 193-203, 2008.
- [15] A. Bianchi, G. Badiali, L. Piersanti, and C. Marchetti, "Computer-Assisted Piezoelectric Surgery: A Navigated Approach Toward Performance of Craniomaxillofacial Osteotomies," *Journal of Craniofacial Surgery*, vol. 26, pp. 867-872, 2015.
- [16] S. Siervo, S. Ruggli-Milic, M. Radici, P. Siervo, and K. Jäger, "[Piezoelectric surgery. An alternative method of minimally invasive surgery]," *Schweizer Monatsschrift für Zahnmedizin= Revue mensuellesuisse d'odonto-stomatologie= Rivistamensilesvizzera di odontologia e stomatologia/SSO*, vol. 114, pp. 365-377, 2003.
- [17] T. Vercellotti, "Piezoelectric surgery in implantology: a case report--a new piezoelectric ridge expansion technique," *The International journal of periodontics & restorative dentistry*, vol. 20, pp. 358-365, 2000.
- [18] E. Kouno and P. McKeown, "A fast response piezoelectric actuator for servo correction of systematic errors in precision machining," *CIRP Annals-Manufacturing Technology*, vol. 33, pp. 369-372, 1984.
- [19] J. Rasmussen, T.-C. Tsao, R. Hanson, and S. Kapoor, "Dynamic variable depth of cut machining using piezoelectric actuators," *International Journal of Machine Tools and Manufacture*, vol. 34, pp. 379-392, 1994.
- [20] A. Woronko, J. Huang, and Y. Altintas, "Piezoelectric tool actuator for precision machining on conventional CNC turning centers," *Precision Engineering*, vol. 27, pp. 335-345, 2003.
- [21] W. Xu and L. Han, "Piezoelectric actuator based active error compensation of precision machining," *Measurement Science and Technology*, vol. 10, p. 106, 1999.
- [22] N. Miri, M. Mohammadzaheri, and L. Chen, "A comparative study of different physics-based approaches to modelling of piezoelectric actuators," presented at the The IEEE/ASME International Conference on Advanced Intelligent Mechatronics, Wollongong, Australia, 2013.
- [23] M. Mohammadzaheri, S. Grainger, and M. Bazghaleh, "A comparative study on the use of black box modelling for piezoelectric actuators," *The International Journal of Advanced Manufacturing Technology*, vol. 63, pp. 1247-1255, 2012.
- [24] B. Bhikkaji, M. Ratnam, A. J. Fleming, and S. O. R. Moheimani, "High-performance control of piezoelectric tube scanners," *IEEE Transactions on Control Systems Technology*, vol. 15, pp. 853-866, Sep 2007.
- [25] M. Mohammadzaheri, S. Grainger, and M. Bazghaleh, "A system identification approach to the characterization and control of a piezoelectric tube actuator," *Smart Materials and Structures*, vol. 22, p. 105022, 2013.
- [26] I. Chopra, "Review of state of art of smart structures and integrated systems," *Aiaa Journal*, vol. 40, pp. 2145-2187, Nov 2002.
- [27] N. Miri, M. Mohammadzaheri, L. Chen, S. Grainger, and M. Bazghaleh, "Physics-based modelling of a piezoelectric actuator using genetic algorithm," in *Industrial Electronics and Applications (ISIEA)*, 2013 IEEE Symposium on, 2013, pp. 16-20.
- [28] N. Izyumskaya, Y. Alivov, S. J. Cho, H. Morkoc, H. Lee, and Y. S. Kang, "Processing, structure, properties, and

- applications of PZT thin films," *Critical Reviews in Solid State and Materials Sciences*, vol. 32, pp. 111-202, 2007.
- [29] J. Minase, T.-F. Lu, B. Cazzolato, and S. Grainger, "A review, supported by experimental results, of voltage, charge and capacitor insertion method for driving piezoelectric actuators," *Precision Engineering*, vol. 34, pp. 692-700, 2010.
- [30] J. Sirohi and I. Chopra, "Fundamental behavior of piezoceramic sheet actuators," *Journal of Intelligent Material Systems and Structures*, vol. 11, pp. 47-61, 2000.
- [31] A. J. Fleming and S. O. R. Moheimani, "A grounded-load charge amplifier for reducing hysteresis in piezoelectric tube scanners," *Review of Scientific Instruments*, vol. 76, pp. 0737071-5, 2005.
- [32] M. Bazghaleh, S. Grainger, M. Mohammadzaheri, B. Cazzolato, and T. Lu, "A digital charge amplifier for hysteresis elimination in piezoelectric actuators," *Smart Materials and Structures*, vol. 22, p. 075016, 2013.
- [33] N. Miri, M. Mohammadzaheri, and L. Chen, "An enhanced physics-based model to estimate the displacement of piezoelectric actuators," *Journal of Intelligent Material Systems and Structures*, p. 1045389X14546648, 2014.
- [34] X. L. Zhang, Y. H. Tan, M. Y. Su, and Y. Q. Xie, "Neural networks based identification and compensation of rate-dependent hysteresis in piezoelectric actuators," *Physica B-Condensed Matter*, vol. 405, pp. 2687-2693, Jun 2010.
- [35] "ANSI/IEEE Std 176-1987," 1988.

★★★